

Request
For
Continued Examination (RCE)
Transmittal

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/833,282
Filing Date	April 12, 2001
First Named Inventor	Dan A. Steinberg
Art Unit	2839
Examiner Name	Michael C. Zarroli
Attorney Docket Number	Haleos 124

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☒ Other Electronic IDS filed on June 13, 2003

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to _____
- a. ☒ Deposit Account No. 04-1406
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Niels Haun	Registration No. (Attorney/Agent)	48,488
Signature	<i>Niels Haun</i>	Date	June 13, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Niels Haun	Date	June 13, 2003
Signature	<i>Niels Haun</i>		

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

06/18/2003 AHONDAF1 00000058 041406 09833282

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Complete if known	
Application Number: 09/833,282	
Filing Date: April 12, 2001	
First Named Inventor: Steinberg	
Group Art Unit: 2839	
Examiner Name: Michael C. Zarroli	
Total Amt. of Payment: (1)+(2)+(3)= \$840	Attorney Docket Number: Haleos 124

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																									
<p>1. The Commissioner is hereby authorized to:</p> <p><input checked="" type="checkbox"/> Charge indicated fees</p> <p><input checked="" type="checkbox"/> Charge additional fees</p> <p><input checked="" type="checkbox"/> Credit overpayments</p> <p>to the account of DANN, DORFMAN, HERRELL & SKILLMAN</p> <p>Deposit Account Number <u>04-1406</u></p> <p>2. Payment enclosed:</p> <p>Check in the amount of \$<u>0</u></p>		<p>ADDITIONAL FEES</p> <table><thead><tr><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Surcharge-late filing fee or oath</td><td></td></tr><tr><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>Extension for response within first month</td><td></td></tr><tr><td>Extension for response within second month</td><td></td></tr><tr><td>Extension for response within third month</td><td>465.00</td></tr><tr><td>Extension for response within fourth month</td><td></td></tr><tr><td>Notice of Appeal</td><td></td></tr><tr><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>Request for oral hearing</td><td></td></tr><tr><td>Petition to revive unavoidably abandoned application</td><td></td></tr><tr><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>Issue fee</td><td></td></tr><tr><td>Petitions to the Commissioner</td><td></td></tr><tr><td>Petitions related to provisional applications</td><td></td></tr><tr><td>Submission of Information Disclosure Stmt.</td><td></td></tr><tr><td>Recording each patent assignment per property</td><td></td></tr><tr><td>Other fee (specify) <u>Advance Order (10 copies)</u></td><td></td></tr><tr><td>Other fee (specify) <u>Request for Continued Examination</u></td><td>375.00</td></tr><tr><td colspan="2">SUBTOTAL <u>\$840</u></td></tr></tbody></table>		Fee Description	Fee Paid	Surcharge-late filing fee or oath		Surcharge - late provisional filing fee or cover sheet		Extension for response within first month		Extension for response within second month		Extension for response within third month	465.00	Extension for response within fourth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to revive unavoidably abandoned application		Petition to revive unintentionally abandoned application		Issue fee		Petitions to the Commissioner		Petitions related to provisional applications		Submission of Information Disclosure Stmt.		Recording each patent assignment per property		Other fee (specify) <u>Advance Order (10 copies)</u>		Other fee (specify) <u>Request for Continued Examination</u>	375.00	SUBTOTAL <u>\$840</u>	
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Submitted By:
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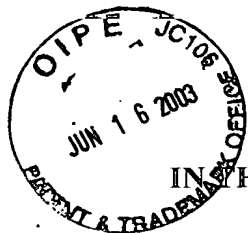
Printed Name Niels Haun

Reg. Number 48,488

Signature Niels Haun

Date June 13, 2003

Deposit Account User ID
04-1406



#16/1005
Shawn
7/25/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Steinberg, et al.** :
: Examiner: Michael C. Zarroli
Application No.: 09/833,282 :
: Group Art Unit: 2839
Attorney Docket No.: Haleos 124 :
: Confirmation No.: 2027
Filed: April 12, 2001 :
: :
For: FIBER OPTIC ARRAY SWITCHCH :

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450.

6-13-03
Date of Certificate

Cristin Donahue
Cristin Donahue

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. In the event the enclosed fee is due, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

The Examiner's attention is drawn to the following co-pending applications which are assigned to, or under obligation to be assigned to, assignee of the present applications. By disclosing the below listed applications applicants do not waive the secrecy of the applications.

OPTICAL WAVEGUIDE SWITCH (02-08)

Serial Number: 10/356,663

Filing Date: January 31, 2003

Inventors: Dan A. Steinberg; William T. Stacy; John J. Fisher; Mindaugas F. Dautartas and David W. Sherrer

OPTICAL WAVEGUIDE SWITCH (02-12)

Serial Number: 09/835,106

Filing Date: April 13, 2001

Inventors: Dan A. Steinberg and David W. Sherrer

FIBER ARRAY SWITCH HAVING MICROMACHINED FRONT FACE WITH ROLLER
BALLS (03-05)

Serial Number: 09/728,896

Filing Date: December 1, 2000

Inventors: Dan A. Steinberg; David W. Sherrer and Mindaugas F. Dautartas

OPTICAL SWITCH ASSEMBLY WITH FLEX PLATE AND METHOD FOR MAKING
(03-12)

Serial Number: 10/022,726

Filing Date: December 20, 2001

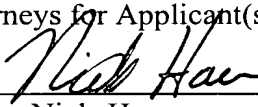
Inventors: David W. Sherrer; John Fisher and Dan A. Steinberg

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN
A Professional Corporation
Attorneys for Applicant(s)

By



Niels Haun

PTO Registration No. 48,488

Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Copies of references and 1449 Form